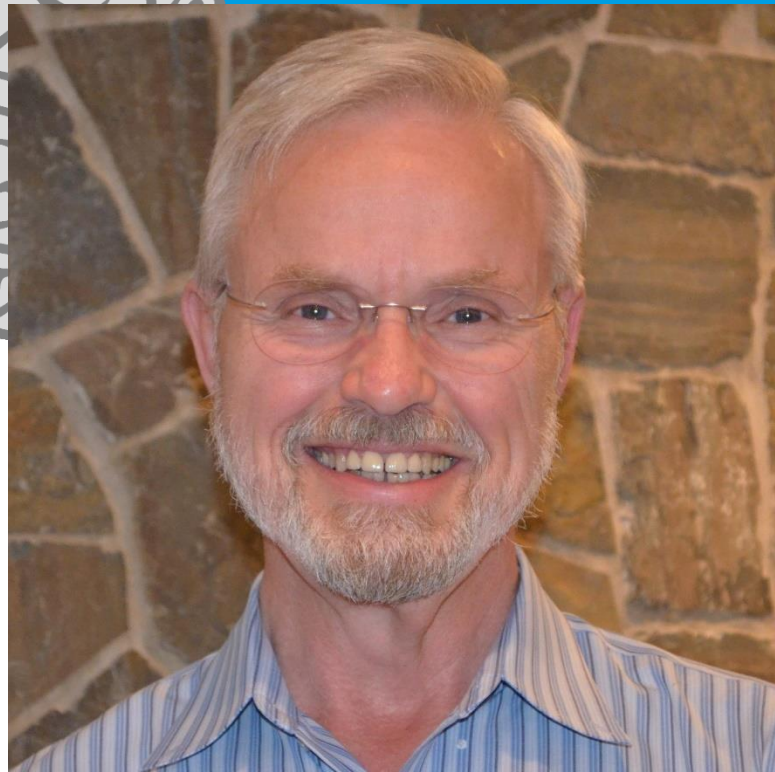


A Scientific Model of Consciousness that Explains Spirituality and Enlightened States



Frank Heile, Ph.D.

Physics degrees
from Stanford and MIT

consciousness@frankheile.com

April 2016



Outline

Part 1: Agents & the Three Agent Model of the Brain

Part 2: Cluster of Conscious Neurons

Part 3: Spirituality & Spiritual Enlightenment

Part 4: Combining these ideas:

Three Agent Model + Cluster Of Conscious Neurons

To Explain Spirituality & Spiritual Enlightenment

Part 5: Predictions



Part 1: Agents & the Three Agent Model of the Brain

- An **Agent** has goals, a way of sensing the world, and a way to make changes to the world to achieve its goals.
- A theorem⁽¹⁾ from control theory says “**Any good agent must contain a model of the system**”
 - If the agent is part of the system, then the agent must also contain a **model of itself**.
- Therefore, a conscious agent must contain a **model of the world** and a model of the agent itself (a **self-model**)

(1) Conant & Ashby, Int. J. Systems Sci., 1970, vol. 1, No. 2, 89-97

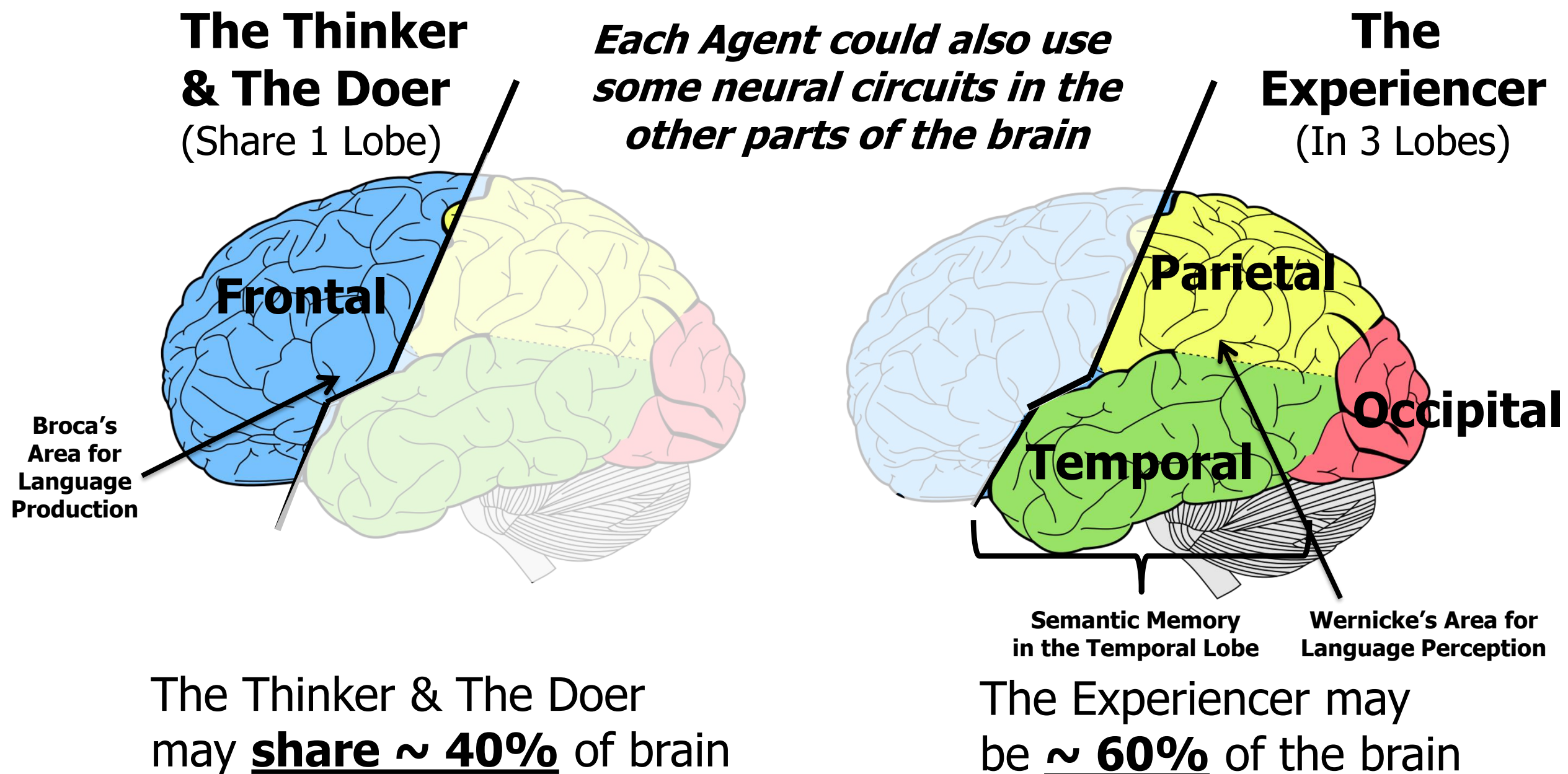


The Three Agent Model of the Brain

- The three different, but integrated, functional agents are:
 - **The Thinker** – the executive function which uses language, symbols, concepts and images to **solve problems**.
 - **The Doer** – controls the body and has emotions.
 - **The Experiencer** – constructs the sensory and language (or conceptual) model of the world and the body.
- The most compelling evidence for the Thinker and Doer agents is **Dual Process Theory**⁽¹⁾ (DPT) in psychology.
 - DPT System 1 (the “Fast” subconscious system) is the **Doer**
 - DPT System 2 (the “Slow” conscious system) is the **Thinker**

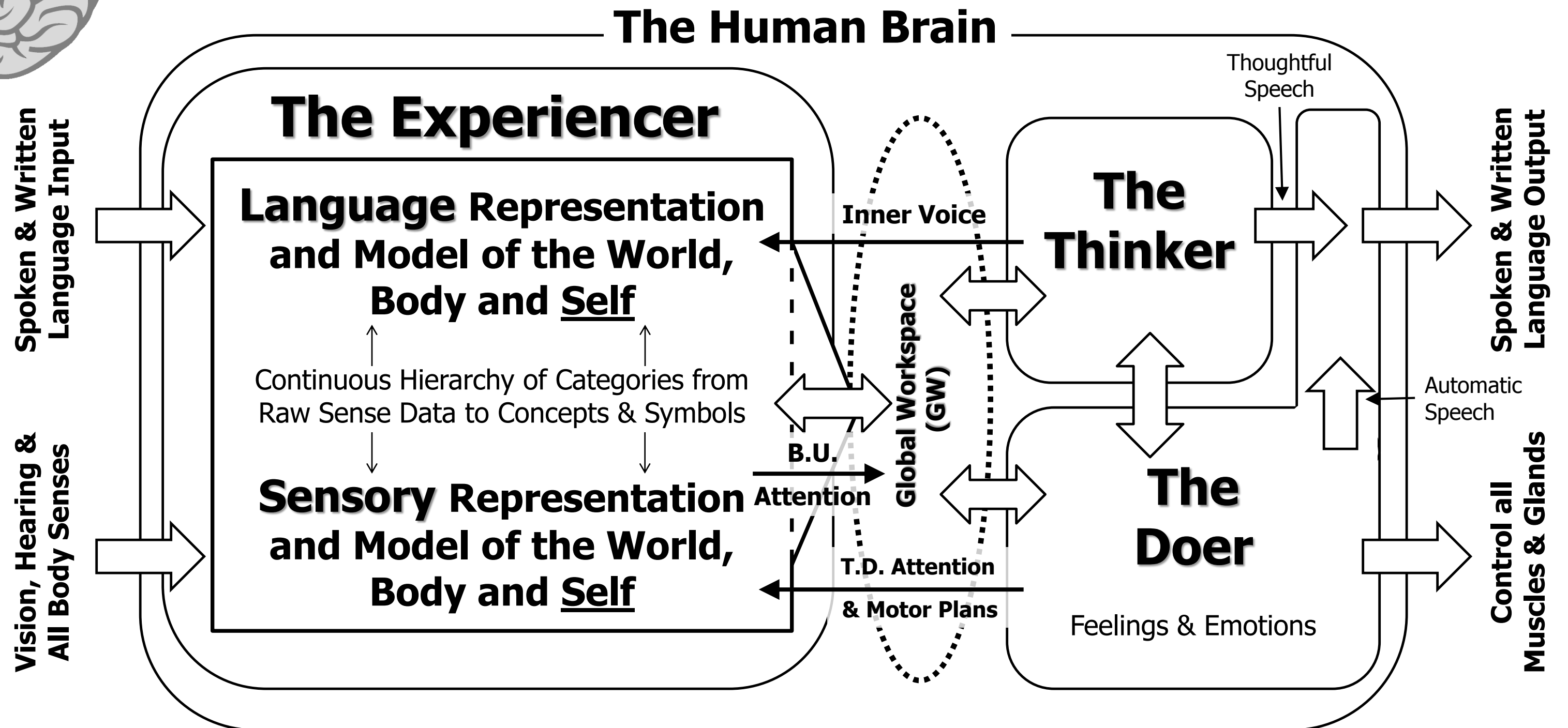
⁽¹⁾ Nobel Laureate **Daniel Kahnemann** popularized **Dual Process Theory** in his 2011 book, “**Thinking, Fast and Slow.**”

The "Core"⁽¹⁾ Locations of the Three Agents in the Brain



(1) "Core" based on lobes containing the primary sensory and language input areas (Experienter) versus the lobe containing the primary motor and language output areas (Thinker and Doer).

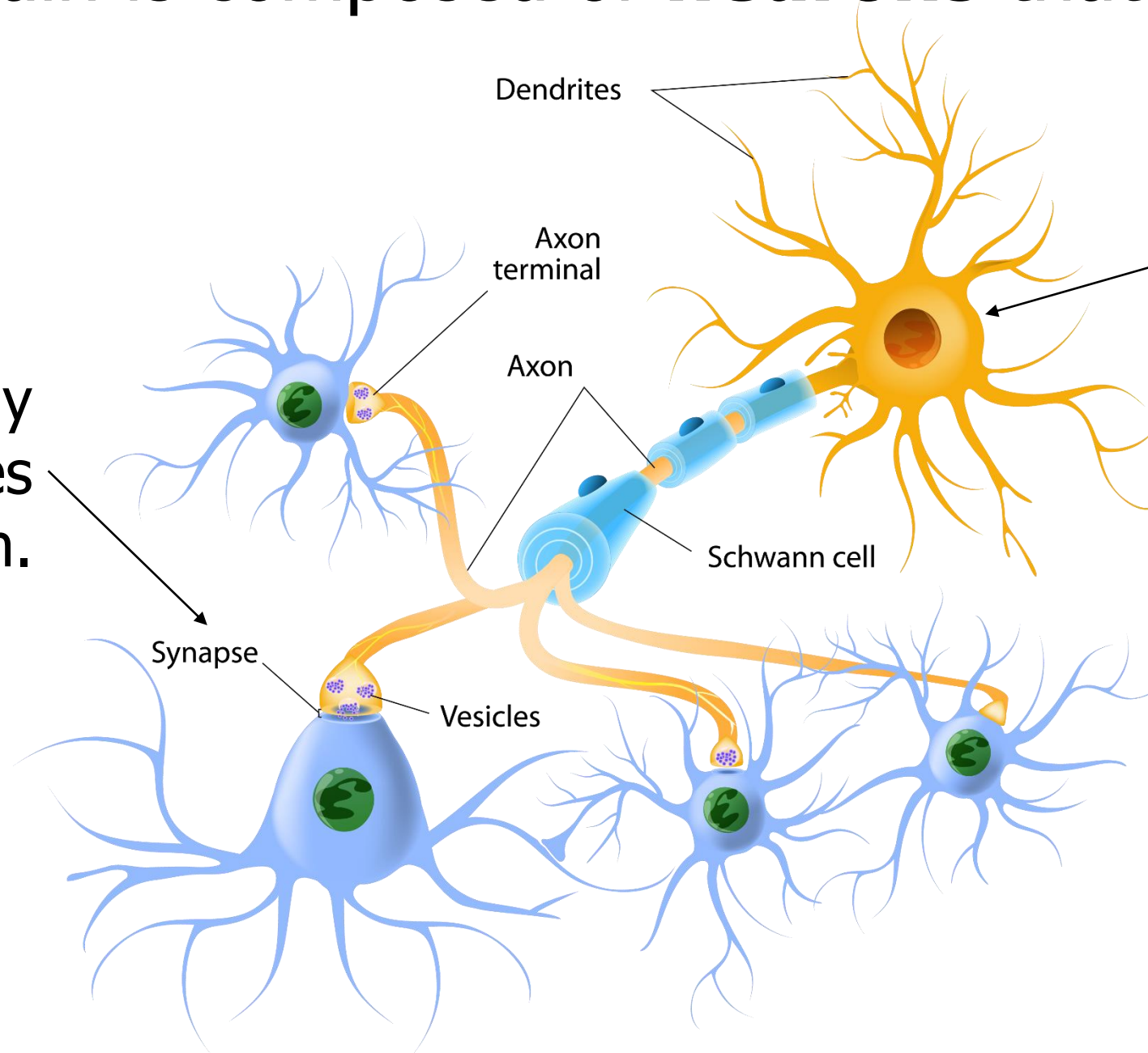
The Three Agents & Their Interfaces:



Part 2: Cluster of Conscious Neurons

- The brain is composed of **neurons** that process signals:

There are roughly 100 trillion synapses in the human brain.



There are almost 100 billion neurons in the human brain.



Conscious vs. Subconscious Neurons

- We can be **conscious** of many different kinds of things:
 - Sensory inputs, thoughts, emotions, feelings, and our actions.
- The brain performs **significant subconscious** processing.
- Which neurons do **conscious** vs. **subconscious** processing?
- **Neural Correlates of Consciousness (NCC)⁽¹⁾**: the neural events & mechanisms sufficient for consciousness.
- The set of neurons needed by the NCC, at a given time, could be called the:

Cluster of Conscious Neurons (CCN)

⁽¹⁾ Crick F. and Koch C. (1990) Towards a neurobiological theory of consciousness.



Cluster of Conscious Neurons (CCN)

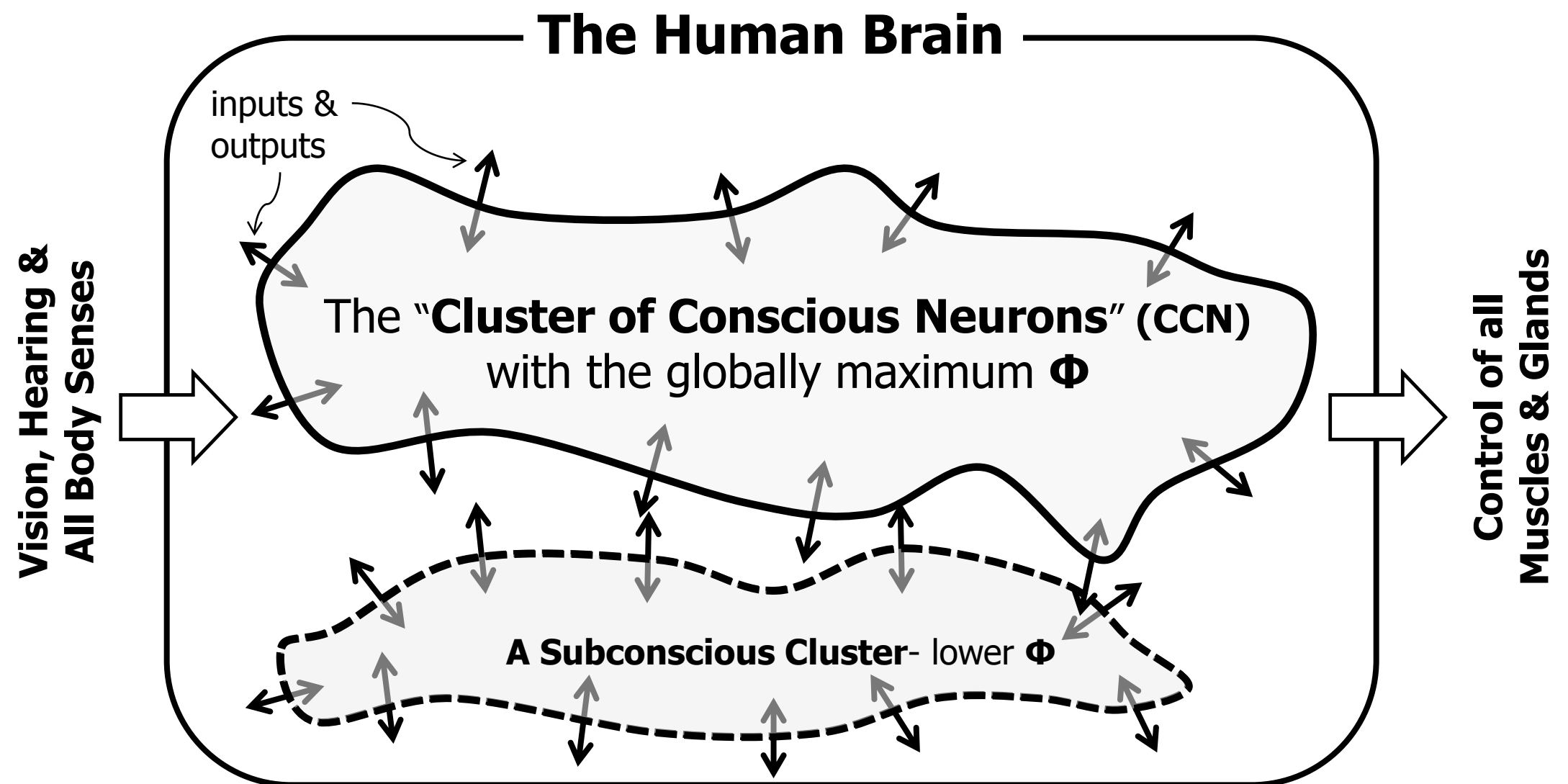
- Can the CCN be determined **experimentally**?
- Is there a **theory** that could determine the CCN?
- Is the CCN **static** or **dynamic**?
 - Does the CCN depend on the **activity** and the **connectivity** of the neurons?
- To explain enlightenment this consciousness model **needs**:
 - The CCN to be **dynamic** and to **depend** on the **activity** and **connectivity** of the neurons.
 - **Integrated Information Theory (IIT)** is a neuroscientific theory that satisfies both these needs.
 - Other theories could satisfy both these needs – e.g. if the CCN is the cluster of neurons firing synchronously in Gamma EEG band.

Integrated Information Theory (IIT)

- **IIT⁽¹⁾** is a neuroscientific theory that can determine the **Cluster of Conscious Neurons (CCN)**

The symbol Φ represents the amount of integrated information in any given neuron cluster.

For a given system, the neuron cluster with the globally maximum Φ value will be the CCN.



(1) Tononi, Giulio, (2008) V1.0, (2012) V2.0, (2014) V3.0


Part 3: Spirituality & Spiritual Enlightenment

- What is the “problem” that Spirituality solves?

- What is Enlightenment?



- What are some of the properties of Enlightenment?



What is the “problem” that Spirituality and Spiritual Enlightenment Solve?

The problem is the Thinker!

- The Thinker is **ideal** for developing civilization, science and technology; and to help us achieve goals...
 - But it is **not good** at living a happy life.
- The Thinker **finds** problems everywhere
 - This can lead to a negative critical attitude towards life.
- If there is **no** problem, then that is a problem!
 - So the Thinker will find an old problem or invent a new problem.
- The Thinker argues with reality: “**This should not happen.**” or “**This is bad and wrong.**” or “**I should not have done that!**”
 - ⇒ An Experiencer model of the world filled with contradictions.



More about The Thinker “problem”

- **Negative emotions** are a problem for the Thinker to solve:
 - “How do I prevent this from happening again?”
- **Positive emotions** can also be a problem for the Thinker:
 - “How do I make sure this happens all the time?”
 - Or turns Positive to Negative – worrying about the Positive ending
- Problems can be in the **past** – often called a **resentment**;
- Problems can be in the **future** – usually triggering **fears**.
- Thus, the Thinker is an **emotion amplifier** and an **emotion echo chamber!**
 - Buddhism: the cause of Suffering is Attachments and Aversions.
 - The Thinker also amplifies Attachments and Aversions.




Enlightenment (AKA Nonduality)

- What is **Enlightenment**?
 - Enlightenment is **not** about any sort of **perfection**...
 - See Daniel Ingram's discussion of models of enlightenment.⁽¹⁾
 - Enlightenment is a different kind of perception of the world, **and** it requires a fundamental change in the self-model of the human.
- There are **multiple** kinds of enlightenment
 - Bernadette Roberts reports having two different kinds of enlightenment, many years apart.⁽²⁾
 - Daniel Ingram documents that various sects of Buddhism claim between two and ten different stages or paths of enlightenment.

⁽¹⁾ Daniel L Ingram, "**Mastering the Core Teachings of the Buddha**" (2008) see the 31 models of enlightenment in the "Models of the Stages of Enlightenment" chapter.

⁽²⁾ Bernadette Roberts, "**What Is Self**" (2005)



Some Commonly Reported Properties of Enlightenment

This is **not** a universally accepted list!

- ❑ Nonduality is the most important property
- ❑ Non-agency – no sense that “they” are “doing” actions
- ❑ Simultaneous awareness of the whole visual field
- ❑ Continuous awareness of the entire body
- ❑ Substantially decreased thoughts
 - ❑ Especially “self” centered thoughts
- ❑ Substantially decreased emotions
 - ❑ Especially “negative” emotions; leaving mostly “positive” ones



Part 4: Combining Ideas:

Combining IIT and the Three Agent Model can explain:

- ❑ The purpose of spiritual practices and spiritual awakening,
- ❑ Multiple different kinds of enlightenment or nonduality, &
- ❑ The properties of different enlightened or nondual states.

These are explained by the way the **CCN** is distributed among the **Three Agents**.



The **CCN** distribution among the **Three Agents**

- The normal modern human would have a **CCN mostly in the Thinker and the Experiencer.**
- The spiritually enlightened or nondual states would have a **CCN mostly in the Doer and/or Experiencer.**
 - In other words, **NO CCN** in the **Thinker!**
- The most nondual enlightened state would have a **CCN confined to the Experiencer only.**



The Three Agent's Self-models:

- **Thinker** = "I" or "me"; the autobiographical narrative history of "me"; "my" goals; "my" body; "my" emotions and feelings. (By the way, "I" am aware of the world; "I" make all the decisions; and "I" am in total control of "my" body.)
- **Doer** = the body, emotions, feelings and goals. (And is capable of controlling the body and perceiving the world.)
- **Experiencer** = "presence awareness"
 - Presence – the sense of existence or "beingness"
 - Awareness – perception of the body, world, thoughts & feelings

When the CCN contains most of an agent, the self-model of the CCN will match the self-model of the agent.



How Does **Spirituality** Help Solve the Thinker “Problem”?

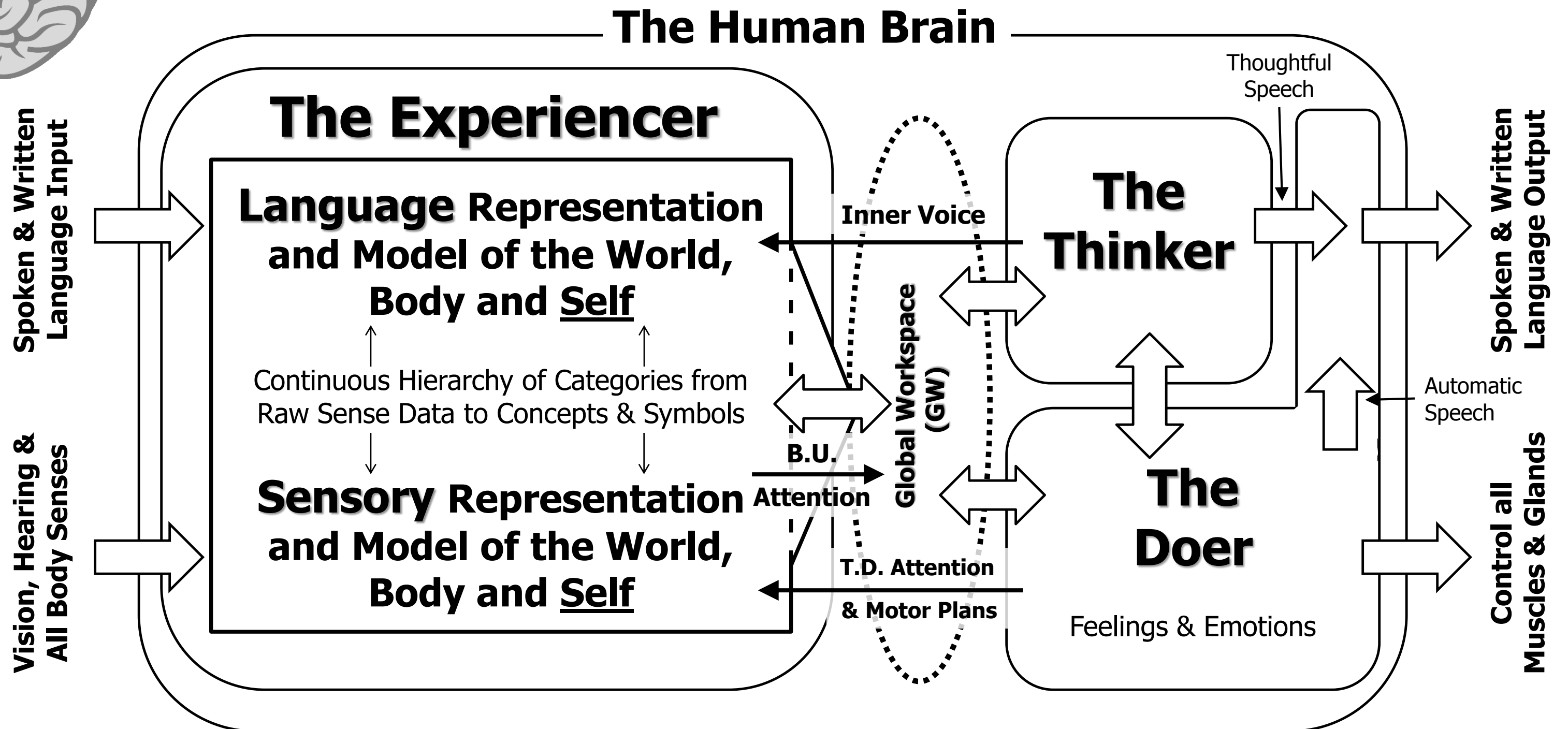
- Spiritual practices can give insight that we are **more** than just the **Thinker**
 - The Doer or Experiencer can be recognized as “the **soul**” or as our connection to a “**God.**”
 - When the Thinker first became the CCN, the Thinker may have misidentified the subconscious Doer and Experiencer as the “God” agent!
 - Some spiritual paths recommend trusting intuition as being God’s communication to us – intuition comes from the Doer and Experiencer.
- **Forgiveness & acceptance** can mitigate resentments caused by the Thinker trying to solve problems in the past.
- **Trusting God** or a Higher Power can mitigate fear caused by the Thinker trying to solve problems in the future.



How Does **Enlightenment** Help Solve the Thinker “Problem”?

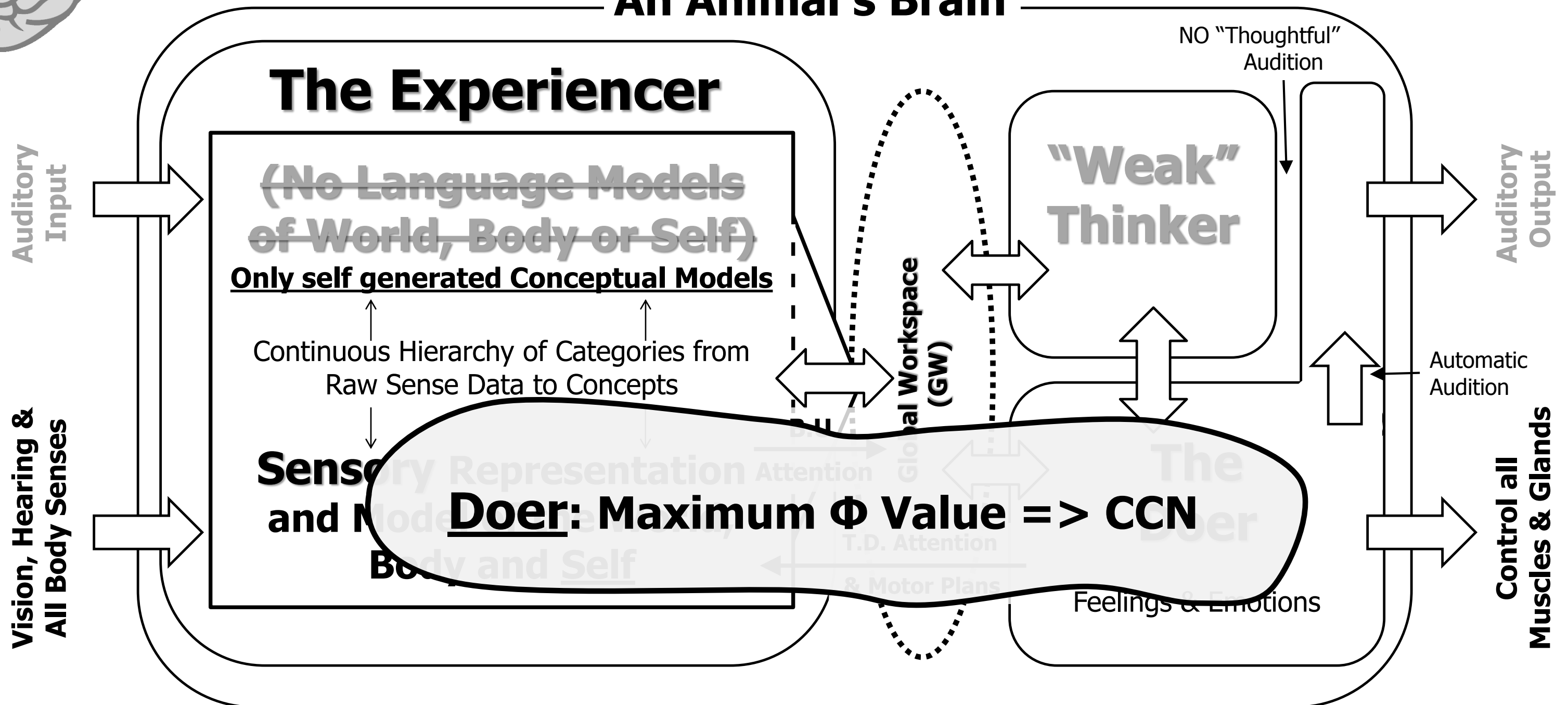
- Before Enlightenment, the CCN includes most of the Thinker.
 - Therefore, the CCN self-model **is** the Thinker’s self-model,
 - All these “problem solving” thoughts **are** “our” thoughts,
 - And all these problems to solve **are** “our” problems.
- After Enlightenment, the CCN does **NOT** include the Thinker.
 - Therefore, the Thinker’s self-model can be seen to **not** be “me,”
 - The Thinker’s thoughts are **not** “my” thoughts,
 - All these problems the Thinker is solving are **not** “my” problems.
 - The Thinker may still have attachments and aversions, but they are **not** “my” attachments and aversions.

Three Agent Diagram to Graphically Indicate How the CCN is distributed:



Animal's CCN State:

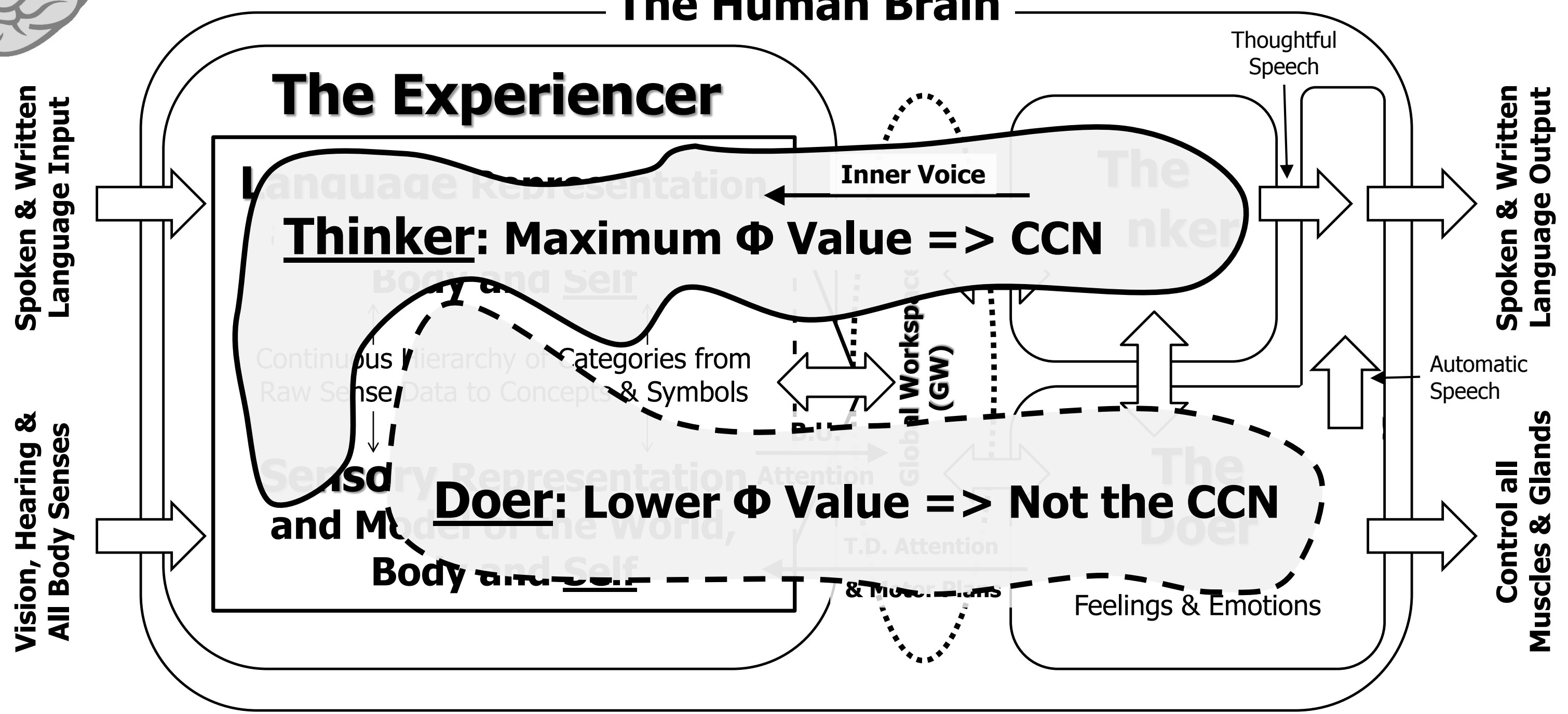
An Animal's Brain



Modern Human CCN State:

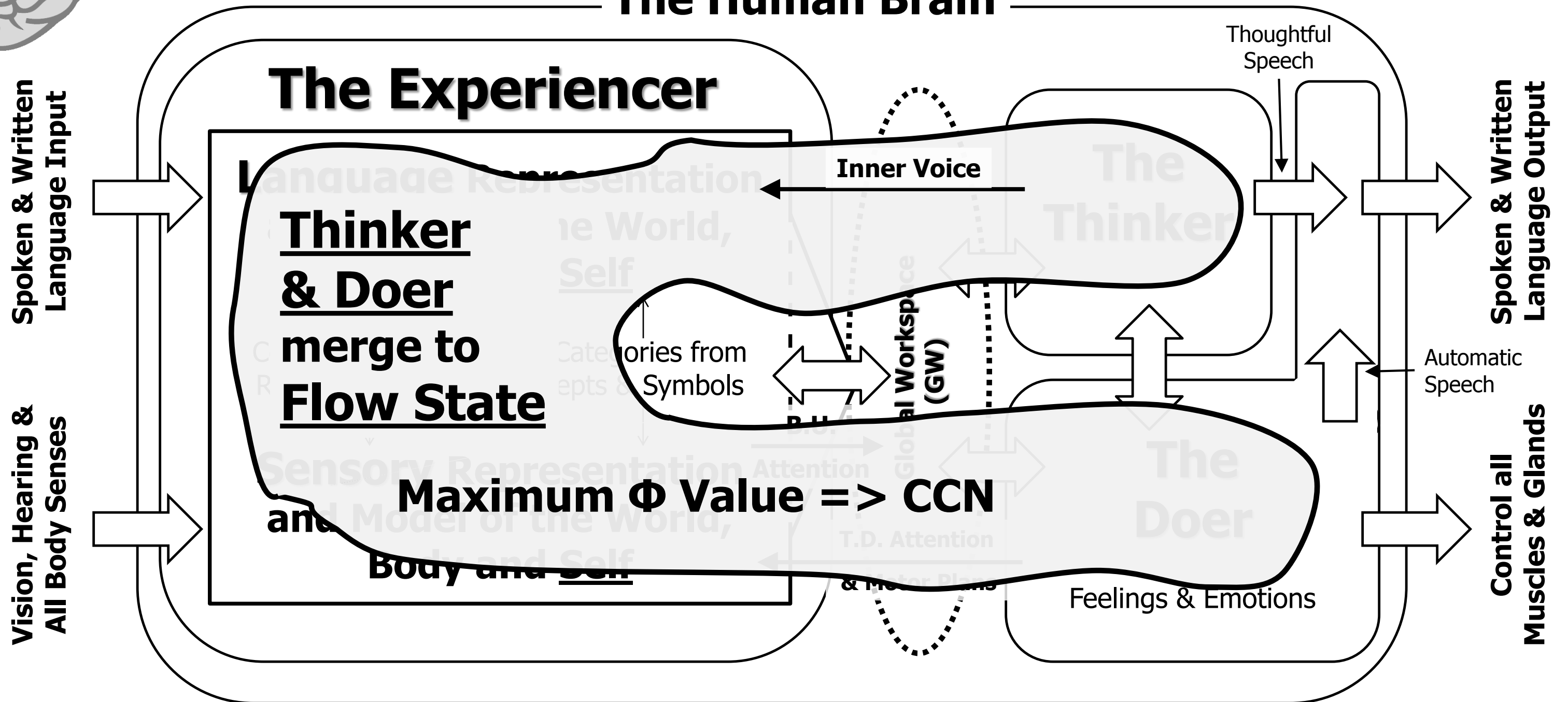


The Human Brain



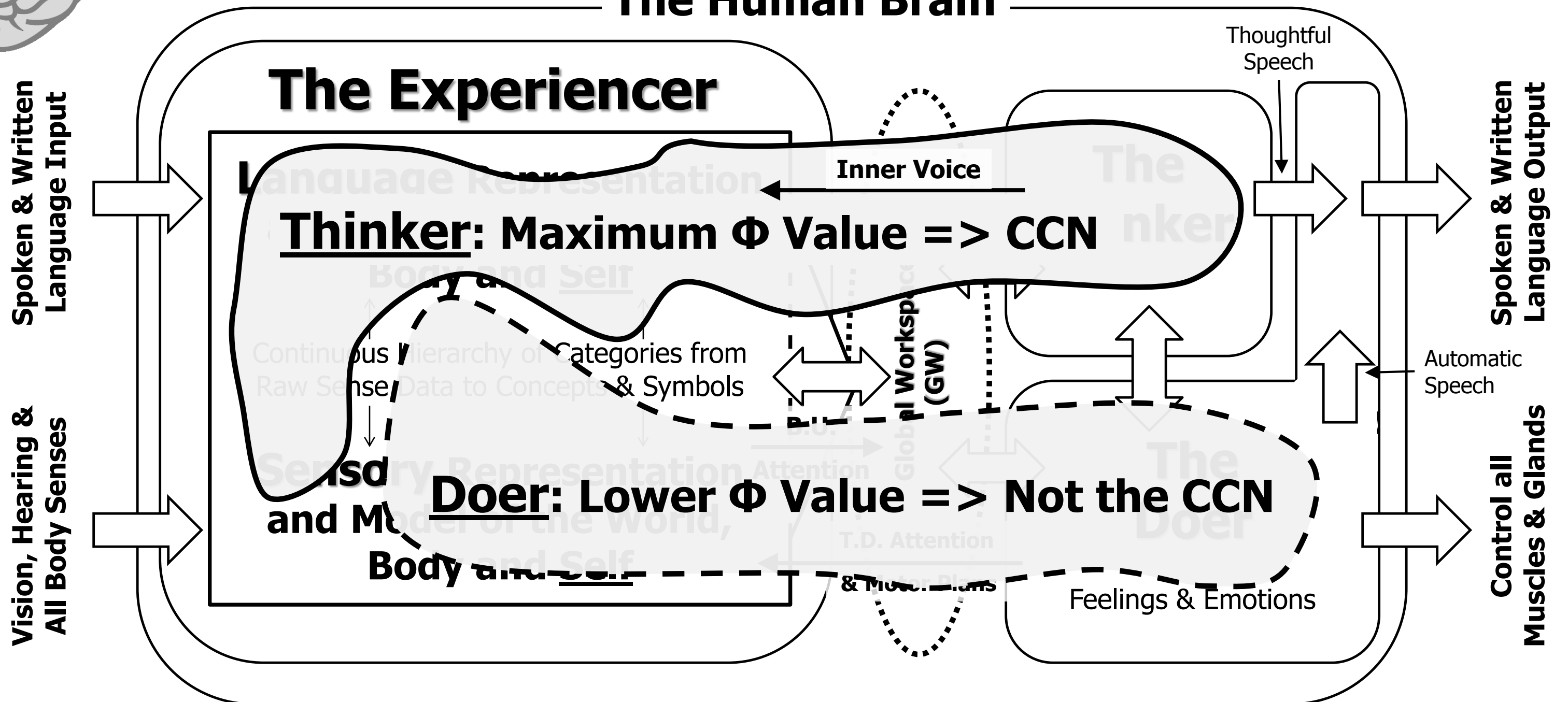
A Possible CCN of the Flow State:

The Human Brain



Back to the Modern Human CCN State:

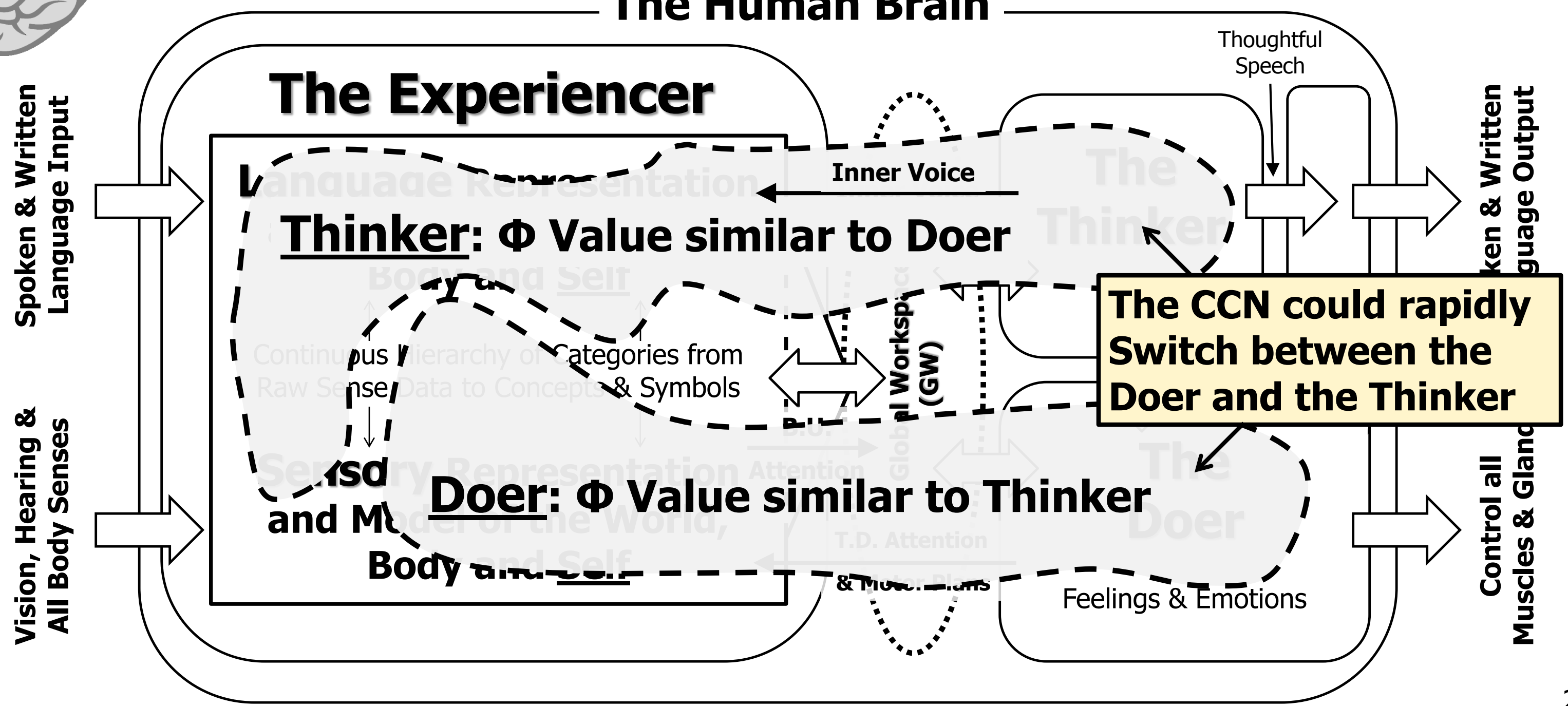
The Human Brain



Enlightenment Begins:

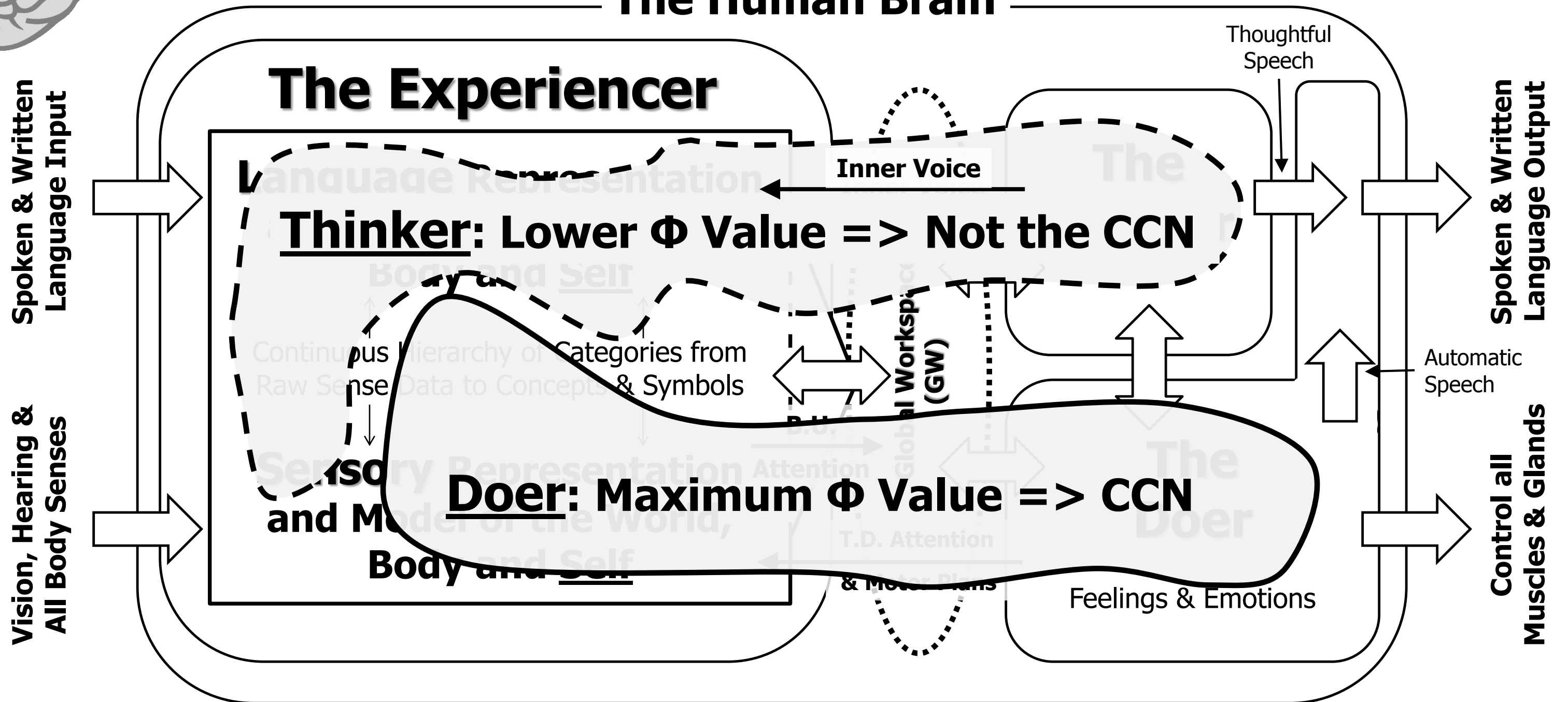


The Human Brain



First Enlightened CCN State:

The Human Brain

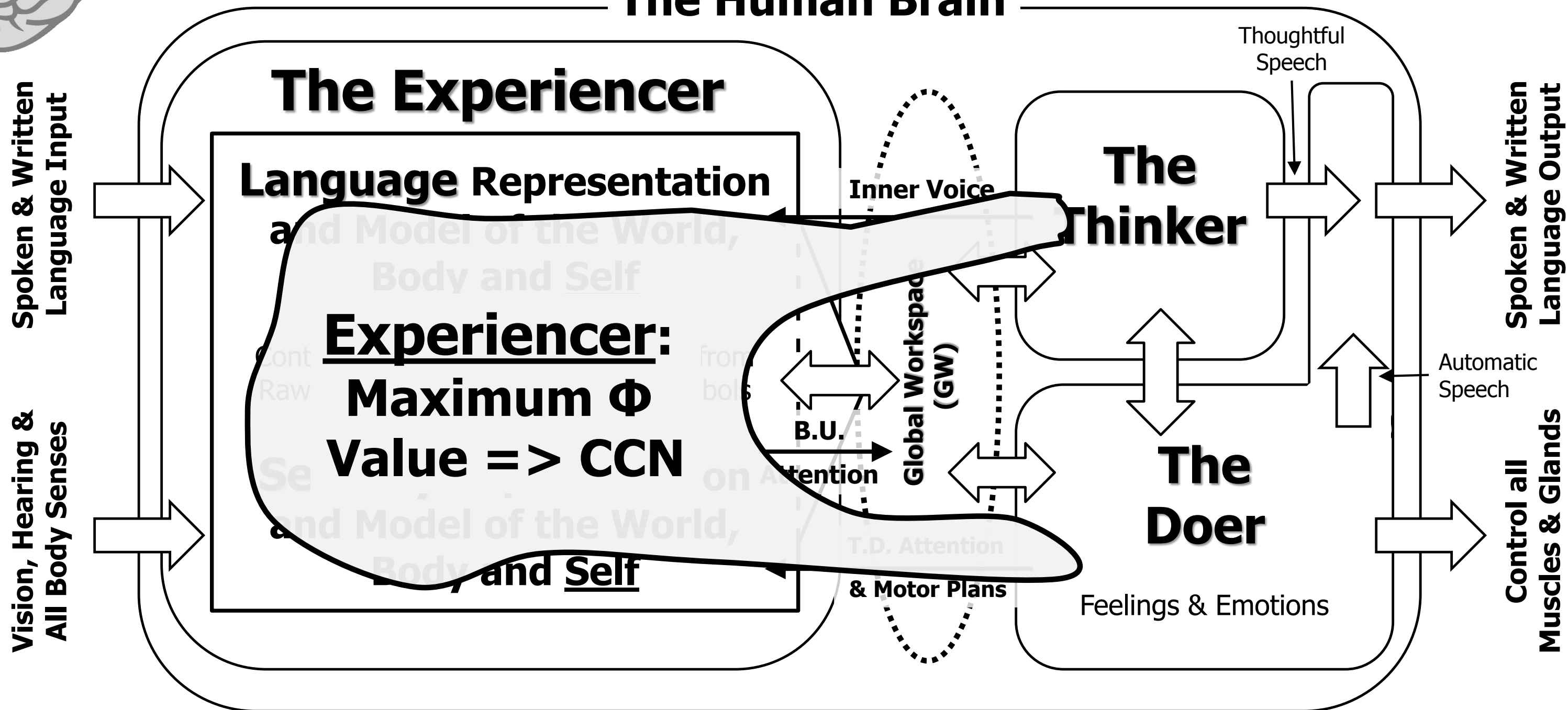


Early Nondual CCN State:

(Note some similarity to the Flow State CCN)



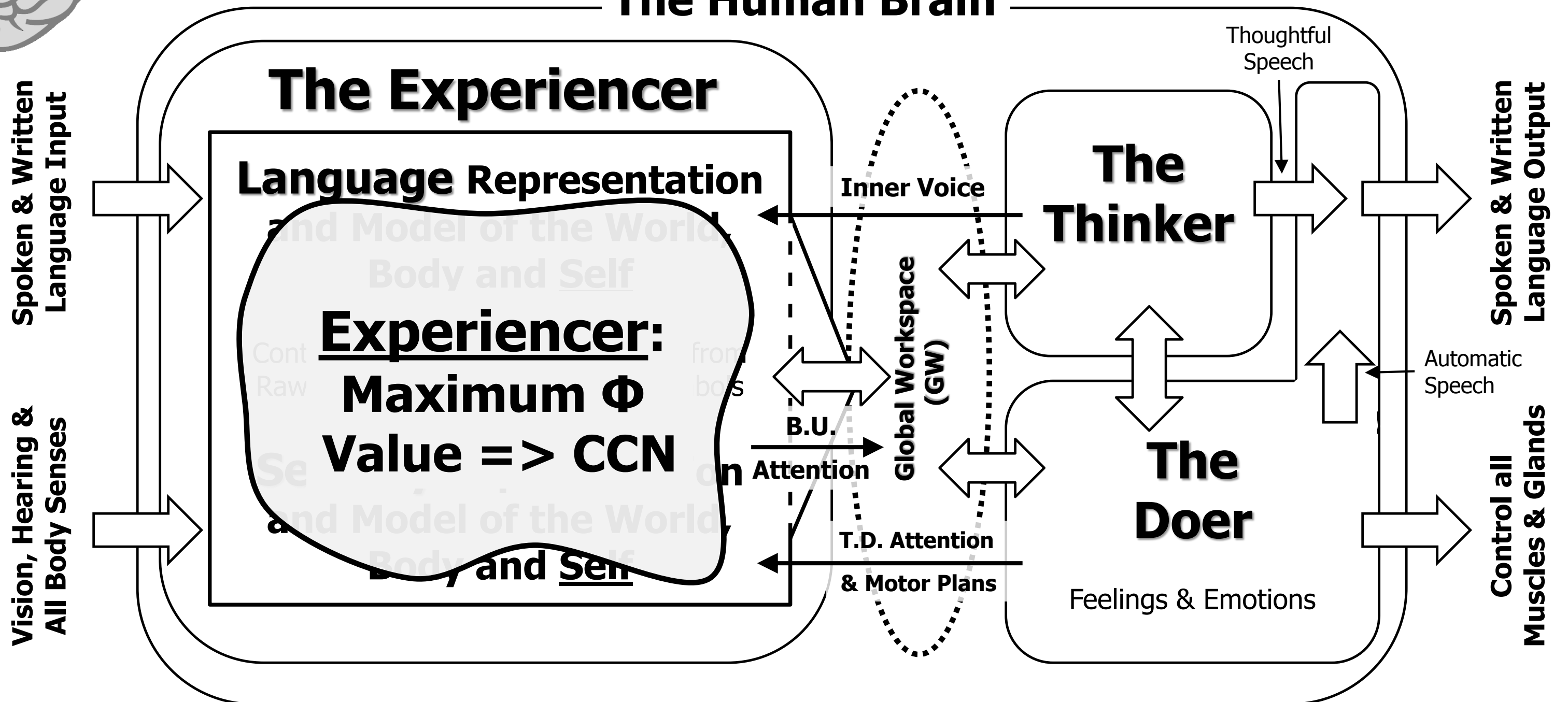
The Human Brain




Fully Nondual CCN State:



The Human Brain





Explaining Enlightenment Properties in the Fully Nondual CCN State

- Nonduality: Experiencer self-model = model of the world.
- Non-agency: The Experiencer does not “do” any actions
- The bottleneck of the Global Workspace explains:
 - Increased awareness of the whole visual field and body
- Substantially decreased thoughts: Experiencer isn't the Thinker
- Substantially decreased emotions: Experiencer isn't the Doer
 - Since the Experiencer does not argue with reality, it will accept the world exactly the way it is.
 - The Experiencer can achieve its goal of modeling the world, and that makes it a “Happy” agent.

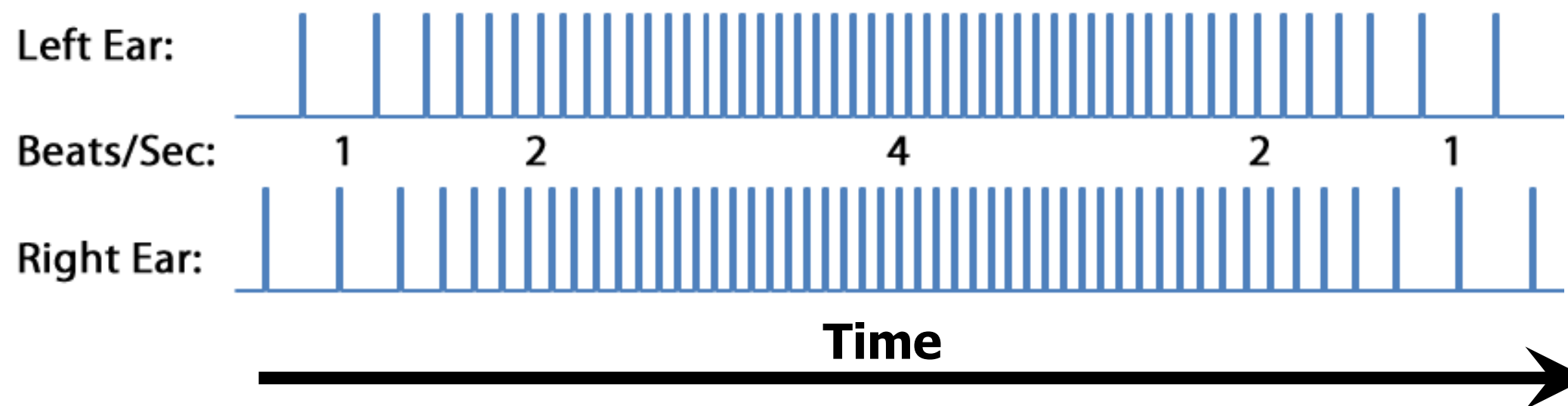


Part 5: Predictions

- ❑ **Prediction #1:** Fully nondual humans should be able to consciously detect masked images presented too fast for normal humans.
- ❑ **Explains:** Why Open Monitoring (OM) meditation practices are more effective at producing enlightenment than Focused Attention (FA) meditation.
 - ❑ Doing OM quickly should also increase effectiveness.

Predictions

- **Prediction #2:** A new kind of bottom up attention “meditation,” triggered by technology generated alternating sensations, should be effective for achieving enlightenment.



(Example of left/right tick/tocks - from 1 bps to 4 bps to 1 bps)

Instruction: When you hear the tick or tock in the left or right ear, ...direct somatosensory attention to the corresponding left or right hand.

Alternatively, ...direct peripheral visual attention to the corresponding left or right visual field while keeping focal visual attention fixed straight ahead.



The End

- I would very much appreciate any and all thoughts and feedback. Thank you!

- Please send a comment or question in an email to:

consciousness@frankheile.com

- Also, let me know if you would like an occasional email when new kinds of content (talks, videos, articles or my book) are available.